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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/714,000	11/14/2003	Vanessa Chisholm	P1746R1P1

CONFIRMATION NO. 1570

# FORMALITIES LETTER



\*OC000000013225310\*

09157  
GENENTECH, INC.  
1 DNA WAY  
SOUTH SAN FRANCISCO, CA 94080

Date Mailed: 07/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

patin21help@uspto.gov or patin3help@uspto.gov

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

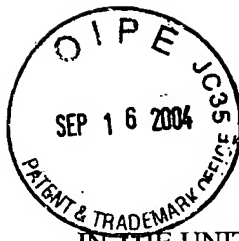
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

BH  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



Patent Docket P1746R1P1  
Express Mail No. EV 351930547 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Vanessa Chisholm et al.  Serial No.: 10/714,000  Filed: November 14, 2003  For: <b>EXPRESSION VECTORS AND METHODS</b>	Group Art Unit: 1632  Examiner: To Be Assigned  CONFIRMATION NO: 1570  CUSTOMER NO: 09157  Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)): Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as Express Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  Dated: <u>9-16-04</u> <u>Pam Golden</u> Printed Name: Pam Golden
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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is responsive to the Notice to File Missing Parts - Filing Date Granted, mailed July 14, 2004.

Transmitted herewith are the following documents:

1. Response to Notice to File Missing Parts & Fee (in dupe.) **\$130.00**
2. Declaration duly executed (10 pages)
3. Part 2 Notice to File Missing Parts (2 pages)
4. Sequence listing (paper copy 23 pages)
5. Sequence listing on diskette
6. Certificate re: Sequence Listing Under 37 CFR §1.821(f) and (g)
7. Petition & Fee for One Month Extension of Time **\$110.00**
8. Request to Correct Inventorship & Fee (in dupe.) **\$130.00**
9. Consent of Assignee
10. Statement in Support of Request to Correct Inventorship

11. Return postcard

The Commissioner is hereby authorized to deduct the appropriate fees of **\$370.00** associated with this communication or credit any overpayment to Deposit Account No. **07-0630**. **A duplicate of this sheet is enclosed.**

Respectfully submitted,  
GENENTECH, INC.

Date: 9/16/04

By: Cara Coburn

Cara Coburn

Reg. No. 46,631

Telephone No. (650) 467-6222